

## Claiming CPTPP preference on imports into the United Kingdom

### CPTPP proof of origin

A claim for preferential tariff treatment must be based on a certification of origin completed by the exporter, producer or importer, or by the issuing authority in the case of a proof of origin raised in Brunei, Malaysia or Vietnam accordance with Annex 3-A (Other Arrangements) of the CPTPP Agreement.

A certification of origin:

- may apply to a single shipment
- multiple shipments of identical goods within any period specified in the certification of origin, but not exceeding 12 months
- shall be valid for two years after the date that it was issued.

Except when raised in Brunei, Malaysia or Vietnam a certification of origin:

- need not follow a prescribed format, although the template annexed to this guidance may be used as a guide
- must be in writing, including electronic format
- must specify that the good is both originating and meets the requirements of the CPTPP [Origin Reference Document](#); and
- must contain the following minimum set of data (Minimum Data Requirements):

#### 1. Importer, Exporter or Producer Certification of Origin

Indicate whether the certifier is the exporter, producer or importer in accordance with Article 20 (Claims for Preferential Treatment).

#### 2. Certifier

Provide the certifier's name, address (including country), telephone number and e-mail address.

#### 3. Exporter

Provide the exporter's name, address (including country), e-mail address and telephone number if different from the certifier. This information is not required if the producer is completing the certification of origin and does not know the identity of the exporter. The address of the exporter shall be the place of export of the good in a CPTPP country.

#### 4. Producer

Provide the producer's name, address (including country), e-mail address and telephone number, if different from the certifier or exporter or, if there are multiple producers, state "Various" or provide a list of producers. A person that wishes for this information to remain confidential may state "Available upon request by the importing authorities". The address of a producer shall be the place of production of the good in a CPTPP country.

## 5. Importer

Provide, if known, the importer's name, address, e-mail address and telephone number. The address of the importer shall be in a CPTPP country.

## 6. Description and HS Tariff Classification of the Good

- a. Provide a description of the good and the HS tariff classification of the good to the 6-digit level. The description should be sufficient to relate it to the good covered by the certification; and
- b. If the certification of origin covers a single shipment of a good, indicate, if known, the invoice number related to the exportation.

## 7. Origin Criterion

Specify the rule of origin under which the good qualifies.

## 8. Blanket period

Include the period if the certification covers multiple shipments of identical goods for a specified period of up to 12 months as set out in Article 20 (Claims for Preferential Treatment).

## 9. Authorised Signature and Date

The certification must be signed and dated by the certifier and accompanied by the following statement:

*I certify that the goods described in this document qualify as originating and the information contained in this document is true and accurate. I assume responsibility for proving such representations and agree to maintain and present upon request or to make available during a verification visit, documentation necessary to support this certification.*

When issued in Brunei, Malaysia or Vietnam the certification of origin shall be issued on a template similar to the example annex to this guidance and must be stamped by the exporting customs authority.

## Basis of a Certification of Origin

If the **producer** certifies the origin of a good, the certification of origin shall be completed on the basis of the producer having evidence that the good is originating.

If the **exporter** is not the producer of the good, a certification of origin may be completed by the exporter of the good on the basis of:

- the exporter having information that the good is originating; or
- reasonable reliance on the producer's information that the good is originating.

If the **importer** certifies the origin of the good, the certification of origin shall be completed on the basis of:

- the importer having documentation that the good is originating; or

- reasonable reliance on supporting documentation provided by the exporter or producer that the good is originating.

The documentation held by an importer making out a certification of origin shall evidence:

- if the origin criterion was based on a specific production process, a specific description of that process
- if applicable, a description of the originating and non-originating materials used in the production process
- if the origin criterion was 'wholly obtained', the applicable category (such as harvesting, mining, or fishing and the place of production)
- if the origin criterion was based on a value method, the value of the product as well as the value of either all the non-originating or originating, or both, materials used in the production
- if the origin criterion was based on weight, the weight of the product as well as the weight of either the relevant non-originating or originating, or both, materials used in the product
- if the origin criterion was based on a change in the commodity code used to classify goods, a list of all the non-originating materials including their commodity code under the Harmonised System (in 2, 4 or 6-digit format depending on the origin criteria)
- the information relating to the compliance with the provision on non-alteration (if applicable), for example a certificate of non-manipulation from the Customs Authority in the country of transit

## Record keeping

Under CPTPP, an importer, exporter or producer who completes a certification of origin must maintain documentation relating to the certification of origin and all records necessary to demonstrate that the good is originating for a period no less than five years from:

- the date of importation of the good in the case of a certification of origin issued by an importer; and
- the date of issuance of the certification of origin when issued by an exporter or producer.

Records must be retained for **five years** in either hard copy or electronic format.

## Claiming CPTPP preference on the Customs Declaration Service (CDS)

To claim preference under the CPTPP declarants must complete the following Data Elements (DE) as directed:

### DE 2/3

- Enter one of the following proof of origin codes as appropriate and status code:
  - **9U01** – Certification of origin made out by the exporter
  - **9U02** – Certification of origin made out by the producer
  - **9U03** – Certification of origin made out by the importer

This codes can be declared for certifications of origin covering both single and multiple consignments.

- Enter **9081** to declare the claim to preference is being made under the CPTPP with:
  - the document identifier matching the proof of origin code being declared; and

- status code **JP**.

#### **DE 4/17**

Enter the appropriate preference code from the 300 series.

#### **DE 5/16**

Enter the CPTPP Party of preferential origin. This will be the last CPTPP Party where the goods underwent production going beyond the following minimal processes:

- (a) an operation to ensure the preservation of a good in good condition for the purposes of transport and storage
- (b) packaging, re-packaging, breaking up of consignments or putting up a good for retail sale, including placing a good in bottles, cans, flasks, bags, cases or boxes
- (c) mere dilution with water or another substance that does not materially alter the characteristics of the good
- (d) collection of goods intended to form sets, assortments, kits or composite goods; and
- (e) any combination of operations referred to in subparagraphs (a) through (d).

Further information on completing data elements can be found here:

<https://www.gov.uk/government/publications/cds-uk-trade-tariff-volume-3-import-declaration-completion-guide/uk-trade-tariff-cds-volume-3-import-declaration-completion-guide>

**Annex**

<b>1. Goods consigned from</b> (Exporter's name, e-mail address, telephone number, address and country)		<b>Reference No.</b>  THE COMPREHENSIVE AND PROGRESSIVE AGREEMENT FOR TRANS-PACIFIC PARTNERSHIP <b>CERTIFICATE OF ORIGIN</b> <i>(Combined declaration and certificate)</i>  <b>FORM CPTPP</b>  Issued in [Country]		
		<b>2. Goods consigned to</b> (Consignee's name, e-mail address, telephone number, address and country) (if known)		<b>4. For official use</b> <input type="checkbox"/> Non-Party Invoicing <input type="checkbox"/> Certified True Copy
<b>3. Means of transport and route</b> (optional)		<b>5. Producer</b> (Producer's name, e-mail address, telephone number, address and country)		
<b>6. Item No.</b>	<b>7. Marks, numbers and kind of packages; description of goods</b> (including HS numer of Importing Country)	<b>8. Origin criterion</b>	<b>9. Quantity of goods</b>	<b>10. Number and date of invoices</b>
<b>11. Declaration by the exporter</b> I certify that the goods described in this document qualify as originating and the information contained in this document is true and accurate. I assume responsibility for proving such representations and agree to maintain and present upon request or to make available during a verification visit, documentation necessary to support this certification.  ..... <i>(Place and date, signature of authorised signatory)</i>		<b>12. Certification</b> It is hereby certified, on the basis of control carried out, that the declaration by the exporter is correct.  ..... <i>(Place and date, signature and stamp of certifying authority)</i>		